



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nurulain ZAVERI et al.

Confirmation No.: 3278

Serial No.: 10/731,690

Group Art Unit: Unassigned

Filing Date: December 8, 2003

Examiner: Unassigned

Title: ANALOGS OF GREEN TEA POLYPHENOLS AS CHEMOTHERAPEUTIC AND  
CHEMOPREVENTIVE AGENTS

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. Applicants respectfully request that the Examiner review and make of record the references identified below.

The references identified below were disclosed and/or cited in parent application Serial No. 10/313,968, filed December 6, 2002. As such, copies of the references are not included pursuant to the provisions of 37 CFR § 1.98(d).

A PTO-1449 form listing the references accompanies this paper. Applicants request that the Examiner initial and return to them a copy of the form to indicate that the references have been reviewed and made of record. The references are as follows:

U.S. PATENT DOCUMENTS		
Document No.	Issue Date or Publication Date	Name of Patentee or Applicant
4,237,162	12/2/80	Kabbe et al.
6,410,061	6/25/02	Morré et al.

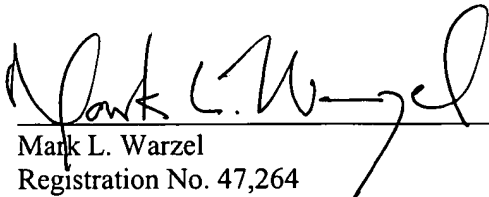
NONPATENT DOCUMENTS
HIROSE et al. (1997), "Effects of Greent Tea Catechins on the Progression or Late Promotion Stage of Mammary Gland Carcinogenesis in Female Sprague-Dawley Rats Pretreated with 7,12-Dimethylbenz(a)Anthracene," <i>Cancer Letters</i> 112:141-147.
LI et al. (2000), "Enantioselective Synthesis of Epigallocatechin-3-Gallate (EGCG), the Active Polyphenol Component from Green Tea," <i>Organic Letters</i> 3(5):739-741.
MUKHTAR et al. (1999), "Green Tea in Chemoprevention of Cancer," <i>Toxicological Science</i> 52(Supplement):111-117.
ZAVERI (2001), "Synthesis of a 3,4,5-Trimethoxybenzoyl Ester Analogue of Epigallocatechin-3-Gallate (EGCG): A Potential Route to the Natural Product Green Tea Catechin, EGCG," <i>Organic Letters</i> 3(6):843-846.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any of the above references constitutes prior art to the present application within the meaning of 35 USC § 102.

As applicants have not yet received a first Action on the merits, no fee is required for filing this Information Disclosure Statement. If, however, a fee is determined to be necessary for this Information Disclosure Statement, our Deposit Account No. 18-0580 may be charged therefor.

Respectfully submitted,

By:

  
Mark L. Warzel  
Registration No. 47,264

REED & EBERLE LLP  
800 Menlo Avenue, Suite 210  
Menlo Park, California 94025  
(650) 330-0900 Telephone  
(650) 330-0980 Facsimile

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

**Complete if Known**

Application Number	10/731,690
Filing Date	December 8, 2003
First Named Inventor	Nurulain ZAVERI et al.
Art Unit	1654
Examiner Name	Unassigned
Attorney Docket Number	8500-0269.20

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document No.	Issue Date or Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date if Appropriate
	AA	4,237,162	12/2/80	Kabbe et al.			
	AB	6,410,061	6/25/02	Morré et al.			

**OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	AC	HIROSE et al. (1997), "Effects of Greent Tea Catechins on the Progression or Late Promotion Stage of Mammary Gland Carcinogenesis in Female Sprague-Dawley Rats Pretreated with 7,12-Dimethylbenz(a)Anthracene," <i>Cancer Letters</i> 112:141-147.	
	AD	LI et al. (2000), "Enantioselective Synthesis of Epigallocatechin-3-Gallate (EGCG), the Active Polyphenol Component from Green Tea," <i>Organic Letters</i> 3(5):739-741.	
	AE	MUKHTAR et al. (1999), "Green Tea in Chemoprevention of Cancer," <i>Toxicological Science</i> 52(Supplement):111-117.	
	AF	ZAVERI (2001), "Synthesis of a 3,4,5-Trimethoxybenzoyl Ester Analogue of Epigallocatechin-3-Gallate (EGCG): A Potential Route to the Natural Product Green Tea Catechin, EGCG," <i>Organic Letters</i> 3(6):843-846.	

Examiner  
Signature

Date

Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.